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1 Assessment of soil features on the growth of environmental nontuberculous mycobacterial 2 isolates from Hawai'i 3 4 \* Cody M. Glickman a 5 \* Ravleen Virdi 6 Nabeeh A. Hasan, PhD<sup>a</sup> 7 L. Elaine Epperson, PhD<sup>a</sup> Leeza Brown<sup>b</sup> 8 9 Stephanie N. Dawrs<sup>a</sup> 10 James L. Crooks <sup>c</sup> Edward D. Chan d,e,f 11 Michael Strong, PhD<sup>a</sup> 12 Stephen T. Nelson, PhD<sup>b</sup> 13 14 <sup>1</sup>Jennifer R. Honda, PhD <sup>a</sup> 15 <sup>a</sup> Center for Genes, Environment and Health, National Jewish Health, Denver, Colorado, USA 16 <sup>b</sup> Department of Geological Sciences, Brigham Young University, Provo, Utah, USA 17 <sup>c</sup> Division of Biostatistics and Bioinformatics, National Jewish Health, Denver, Colorado, USA 18 <sup>d</sup> Medicine and Academic Affairs, National Jewish Health, Colorado, USA 19 20 <sup>e</sup> Division of Pulmonary Sciences and Critical Care Medicine, University of Colorado Anschutz 21 Medical Campus, Aurora, Colorado, USA <sup>f</sup> Department of Medicine, Rocky Mountain Regional Veterans Affairs Medical Center, Denver, 22 23 Colorado, USA. 24 25 \* Cody M. Glickman and Ravleen Virdi contributed equally to this work. Author order was 26 determined alphabetically. 27 28 <sup>1</sup> Corresponding Author 29 Jennifer R. Honda, PhD; Mailing Address: National Jewish Health, 1400 Jackson St., Neustadt Building D504, Denver, CO 80206 U.S.A.; Office: 303-398-1015; Fax: 303-270-2185; U.S.A. 30 31 (email: hondaJ@njhealth.org) 32 Running Title: Soil features that contribute to NTM growth 33 Keywords: nontuberculous mycobacteria, soil minerals, Hawai'i

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### 34 ABSTRACT

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36 Environmental nontuberculous mycobacteria (NTM) with the potential to cause opportunistic 37 lung infections can reside in soil. This might be particularly relevant in Hawai'i, a geographic 38 hot spot for NTM infections and whose soil composition differs from many other areas of the 39 world. Soil components are likely to contribute to NTM prevalence in certain niches, as food 40 sources or attachment scaffolds, but the particular types of soils, clays, and minerals that impact 41 NTM growth are not well-defined. Hawai'i soil and chemically weathered rock (a.k.a., saprolite) 42 samples were examined to characterize the microbiome and quantify 11 mineralogical features as 43 well as soil pH. Machine learning methods were applied to identify important soil features 44 influencing the presence of NTM. Next, these features were directly tested in vitro by incubating 45 synthetic clays and minerals in the presence of Mycobacteroides abscessus and Mycobacterium 46 chimaera isolates recovered from the Hawai'i environment and changes in bacterial growth were 47 determined. Of the components examined, synthetic gibbsite, a mineral form of aluminum 48 hydroxide, inhibited the growth of both *M. abscessus* and *M. chimaera*, while other minerals 49 tested showed differential effects on each species. For example, M. abscessus (but not M. 50 chimaera) growth was significantly higher in the presence of hematite, an iron oxide mineral. In 51 contrast, M. chimaera (but not M. abscessus) counts were significantly reduced in the presence 52 of birnessite, a manganese containing mineral. These studies shed new light on the mineralogic 53 features that promote or inhibit the presence of Hawai'i NTM in Hawai'i soil.

### 54 IMPORTANCE

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56	Globally and in the United States, the prevalence of nontuberculous mycobacterial (NTM)
57	pulmonary disease - a potentially life-threatening, but under-diagnosed chronic illness - is
58	prominently rising. While NTM are ubiquitous in the environment including soil, the specific
59	soil components that promote or inhibit NTM growth have not been elucidated. We hypothesized
60	that NTM-culture positive soil contains minerals that promote NTM growth in vitro. Because
61	Hawai'i is a hot spot for NTM and a unique geographic archipelago, we examined the
62	composition of Hawai'i soil and identified individual clay, iron, and manganese minerals
63	associated with NTM. Next, individual components were evaluated for their ability to directly
64	modulate NTM growth in culture. In general, gibbsite and some manganese oxides were shown
65	to decrease NTM, whereas iron containing minerals were associated with higher NTM counts.
66	These data provide new information to guide future analyses of soil-associated factors impacting
67	persistence of these soil bacteria

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### 68 INTRODUCTION

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70 Natural and man-made environments harbor potentially disease-causing species of 71 nontuberculous mycobacteria (NTM) (1). The NTM species responsible for human lung 72 infections are thought to be influenced by the specific environmental source exposures and the 73 NTM species diversity within these environmental niches. While water-associated biofilms 74 contain potentially disease-causing NTM, a variety of NTM species have also been discovered in 75 soil (2-4). Prior studies have shown that potting soil can be a reservoir for clinically relevant 76 mycobacteria (4). In Japan, residential soil from patients with pulmonary NTM infections were 77 demonstrated to harbor NTM that were genetically related to patients' respiratory NTM isolates 78 and that soil was a source of the patients' polyclonal and mixed Mycobacterium avium complex 79 infections (5, 6). In the United States (U.S.), Hawai'i has the highest prevalence of NTM lung 80 infections with almost four times higher NTM infection rates than the national average in a 81 survey among older adults (7). In prior work (8), we reported the presence of clinically relevant 82 slow-growing mycobacteria (SGM) including Mycobacterium chimaera, Mycobacterium 83 marseillense, and Mycobacterium intracellulare in Hawai'i soil samples, in addition to rapid-84 growing mycobacteria (RGM) including Mycolicibacterium septicum and Mycolicibacterium 85 alvei. 86

The breadth of NTM species diversity in soil is likely driven by the proportion and composition
of minerals and nutrients in that particular soil sample. For example, higher amounts of metals
such as copper, and cations such as sodium, have been shown to be significant predictors for
NTM infection in the U.S. (9). Prior studies from Queensland, Australia have shown soil

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91	containing nutrients such as nitrate or having low pH predicted the presence of RGM, including
92	Mycolicibacterium fortuitum and Mycobacteroides chelonae (10). Yet, soil components such as
93	natural rock, sand, or clay may also impact NTM presence and diversity. A study by Lipner et al.
94	reported increasing clay concentrations as protective against NTM, while increasing silt
95	concentrations was associated with NTM infection (11). In this same study and another, higher
96	manganese concentration was associated with disease prevalence (9, 11). Thus, variable soil
97	characteristics and components may either inhibit or promote NTM growth in soil.
98	
99	In the current study, we performed microbiome and mineral/chemical analyses on a set of
100	Hawai'i soil samples and tested the impact of particular clays and chemicals on the in vitro
101	growth of native NTM species recovered from the Hawai'i environment. Since almost all of the
102	rock underlying Hawai'i ecosystems is oceanic basalt, comprised of volcanic rock with limited
103	variations in composition (12), the characteristics associated with the presence of NTM in

Hawai'i soil may significantly vary from what has been described so far.

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### 105 MATERIAL AND METHODS

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### 107 Soil samples and NTM isolates used in this study

108 In 2012, 65 different soil samples were collected from locations across Oahu, Kauai, Hawai'i

109 Island, Molokai, and Maui and NTM culture diversity from these samples were previously

110 reported (8). A subset of 55 samples were used for downstream processing due to missing data

- 111 and their collection sites were plotted in Figure 1 based on global positioning system
- 112 coordinates. Average rainfall of the sites ranged from less than 1,000 mm/year to 2,000 mm/year.

113 Of note, this study did not consider soil types categorized by the USDA classification system. Of

these soils, 13/65 (20 %) were NTM culture positive. To assess the impact of soil minerals and

115 components on NTM growth in vitro, two environmental Hawai'i NTM isolates were tested in

- 116 the *in vitro* studies detailed herein including: 12-45-Sw-A-1 Mycobacteroides abscessus subsp.
- 117 abscessus isolated from an Oahu household kitchen sink biofilm and 12-56-S-1-1 M. chimaera

118 isolated from an Oahu household garden soil sample (8). M. avium subsp. hominissuis H87

119 isolated from an indoor sink faucet was also tested (13).

120

### 121 Microbiome Analysis

122 Of the 55 soil samples, a subset including eight NTM culture positive and ten NTM culture

123 negative soils were subjected to microbiome profiling. DNA was obtained using the PowerSoil

- 124 TM DNA Isolation Kit from MoBio Labs, Inc (14). Small subunit ribosomal sequencing reads
- 125 were generated on an Ion Torrent Personal Genome Machine. The V4 region of the 16S rRNA
- 126 gene was amplified from total extracted DNA using the following primers: 515F, 5'-
- 127 GTGCCAGCMGCCGCGGTAA-3'; 806R, 5'-GGACTA CHVGGGTWTCTAAT-3' sequencing

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128	reads were processed through Dada2 (version 1.6.0) to infer sequence variants in R (version
129	3.4.4) (15, 16). The Dada2 processing pipeline was adjusted to operate on Ion Torrent
130	semiconductor data by adjusting the homopolymer gap penalty to -1 and increasing the band size
131	parameter to 32 per instructions from the package creators. In addition to the Hawai'i samples,
132	the sequencing run included a no template control (NTC) to account for spurious amplification
133	during the library preparation. Following sequence variant tabulation with Dada2, counts that
134	remained in the NTC were deducted from the soil samples. The resulting samples had a mean of
135	22,000 sequence variants per sample with a maximum count of 30,173 and a minimum count of
136	9,214. Samples were rarified to the minimum count used to establish relative abundance values
137	of sequence variants commonly used in community level statistics and within the phyloseq R
138	package (version 1.22.3) (17). Taxonomic identification of sequence variants was accomplished
139	in Dada2 using a naive Bayesian classifier against a Dada2-formatted Silva 128 database (18).
140	Differential abundances of sequence variants by culture status was performed using a negative
141	binomial model through DeSeq2 (version 1.18.1) (19). Genus level counts of mycobacterium
142	were split into two groups with equal membership using the discretize function of the Arules
143	package (version 1.6-1). Visualizations in R were performed with ggplot2 (version 2.2.1)
144	embedded within the phyloseq package. Microbiome data and the code to replicate the figures
145	are freely available on Github at https://github.com/Strong-Lab/NTM_Soil.
146	

- 147 Soil pH and mineralogy
- Soil, saprolite, and fresh rock pH values were measured by adding de-ionized water to dried
  material (crushed in the case of fresh rock) until the pore space was saturated and the surface

150

151 was generated by measuring pH 4, 7, and 10 buffer solutions. 152 153 Minerals were quantified by using a Rigaku MiniFlex 600 X-ray diffractometer (XRD) 154 employing copper radiation and a scintillation detector with a graphite monochromator as a 155 practical, rapid screening and characterization tool for complex soil mixtures. Mineral 156 abundances were quantified by standard Rietveld methods embedded in the Rigaku PDXL2 157 software. Following filtering of columns with sparse information, the resulting matrix contained 158 11 features for examination including: magnetite, hematite, ilmenite, maghemite, gibbsite, 159 carbonate minerals, quartz, pH, plagioclase, 1:1 clays, and goethite (Table 1). 160 161 **Feature Correlation Analyses** 162 Feature correlation analyses were used to identify and determine the strength of correlation 163 between features and response variables. Soil mineralogy was populated using 55 soil samples. 164 The response variable tested was NTM culture status (culture positive or culture negative). Soil 165 characteristics and culture status were imported into a Pandas (version 0.20.3) Dataframe object 166 in a Jupyter Notebook (version 4.3.0) using Python (version 3.6). The StandardScaler function 167 from the Scikit-learn package (version 0.19.1) was used to normalize soil characteristic 168 percentages within each feature column. The Shapiro-Wilks function from the Scipy package 169 (version 1.0.0) was used to test the normality of each column in both culture status groups. If

glistened. A standard pH probe and meter were used and a unique calibration for each sample

170 either group rejected the null hypothesis, a non-parametric Wilcoxon signed-rank test was used

171 to test for significance between NTM culture status. Otherwise, a t-test with unequal variances

172 was employed to test between the 2 distributions. Feature importance was calculated with the

173	permutation importance function within eli5 (version 0.8.2). Feature values were shuffled in
174	1000 permutations creating an effect of removing the information from a given feature on the
175	performance of a classifier. Thus, features were assigned a mean decrease in accuracy (MDA)
176	signifying how important a feature was to the accuracy of a machine learning model
177	(Supplementary Figure 1). MDA features scores were represented in decimal format using
178	Seaborn (version 0.9.0). The balance of samples in our model and the unexplained variance of
179	NTM culture status, limits the performance of a classification model and thus, the overall values
180	of the feature scores (20). The relationship of MDA scores was used to select important features
181	for downstream in vitro growth assays. Feature scores changed slightly in each iteration.
182	However, the ordering of importance and significance of the relationships between features
183	remained intact. MDA scores and standard deviations were averaged into a group designated as
184	"all remaining features" when not the focus of the soil composition analysis. Feature importance
185	scores only identified soil characteristics useful for accuracy of a machine learning classifier,
186	however, feature importance did not indicate a significant correlation between the abundance of
187	soil features and the outcome variable.
188	
189	In vitro NTM growth assays in the presence of soil components and sterilized soil samples.
190	General information for the individual minerals tested in this study are included in Table 1.
191	The HNL 12-48 soil sample was identified to be rich in kaolinite and free of halloysite and
192	gibbsite. The RAP samples were recovered, by rappelling, from a sea cliff on the northern
193	shoreline of the Kohala Peninsula of the Big Island (21). These samples were selected due to the
194	presence of significant quantities of gibbsite and halloysite. Synthetic gibbsite was provided by
195	Barry Bickmore, Ph.D., Brigham Young University (BYU) Research Collections. Pure birnessite

196	was synthesized by acid titration (22). Crushed hematite was obtained from the BYU research
197	mineral collection. Kaolinite (#K1512) and halloysite (#685445) were obtained from Sigma
198	Aldrich and maghemite was obtained from U.S. Research Nanomaterials, #1309-37-1. After
199	completing a mineral dose response assay for <i>M. abscessus</i> (Supplementary Figure 2) and <i>M.</i>
200	chimaera (Supplementary Figure 3), 100 mg/ml of mineral was chosen for in vitro growth
201	experiments. Soil samples were autoclaved at 132 °C for 15 minutes, plated on standard
202	Middlebrook 7H10 mycobacterial culture agar (24), and incubated at 37 °C for a minimum of
203	three days to ensure sample sterility. All particles were suspended to 100 mg/mL in standard
204	mycobacterial culture broth media Middlebrook 7H9 (25) supplemented with 10% albumin-
205	dextrose-catalase (ADC), 2 % glycerol and 0.05 % Tween 80. These reagents, both autoclaved
206	and non-sterile, were also characterized with the Rigaku Miniflex using their CapWow capillary
207	spinner sample holder. Small samples are loaded into 1 mm Kapton tubes and are rotated in the
208	X-ray beam, effectively creating a random orientation during analysis.
209	

One mL of all suspensions in low bind microcentrifuge tubes were inoculated with  $1 \times 10^5$ 210 CFU/mL of 12-45-Sw-A-1 M. abscessus or 5 x 10<sup>5</sup> CFU/mL of 12-56-S-1-1 M. chimaera or M. 211 avium H87 and incubated on a rotating stand at 37 °C (26, 27). The same concentrations of NTM 212 213 were added to 1 ml 7H9 broth as untreated controls. At the 1, 24, 48 and 96-hour timepoints post 214 inoculation, the cultures were serially diluted in 7H9 broth, and the dilutions were plated in 215 duplicate onto 7H10 agar supplemented with 10 % ADC and incubated at 37 °C. To determine 216 changes in colony forming units (CFU), the plates were counted three days post incubation for 217 M. abscessus and 10-14 days post incubation for M. chimaera and M. avium.

218

### 219 Scanning Electron Microscopy (SEM)

220	SEM images were obtained for <i>M. abscessus</i> and <i>M. chimaera</i> grown for 48 hours in the
221	presence of hematite, gibbsite, birnessite, and untreated controls. Suspensions were filtered
222	through a 0.2 micron Isopore <sup>TM</sup> (#R8MA21491) membrane filter. Next, the samples were fixed
223	with 3 % glutaraldehyde in 0.1 M cacodylate buffer (pH 7.3) for 16 hours, rinsed with distilled
224	water three times for ten minutes, treated with 1 % OsO4 at 4 °C for 16 hours, and rinsed again
225	with distilled water. Samples were dehydrated by rinsing for ten minutes with ethanol at
226	concentrations of 30, 50, 70, 80, 90, 96 and 100 $\%$ at 25 °C, followed by acetone rinses at 30, 50
227	and 100 % concentrations. Samples were then dried with a critical point dryer, mounted on
228	aluminum SEM stubs with double-sided carbon tape, and coated with a gold-palladium alloy. A
229	FEI Apreo scanning electron microscope at BYU obtained six megapixel secondary-electron
230	images in a low vacuum with a 10 kV and 0.1 nA beam.
231	
232	In vitro data analysis
233	Differences in log10 CFU/mL between NTM cultures exposed to clays/minerals and

234 unexposed/control cultures were estimated using ANOVA models with robust sandwich

235 covariance estimators. Separate models were run for each NTM species (*M. abscessus, M.* 

236 *chimaera*, and *M. avium*) at each post-exposure time point (1hr, 48hr, 96hr, and, in some

237 experiments, 24hr). Comparisons were made between clay soils, between synthetic clays,

238 between iron-bearing minerals, and between manganese-bearing minerals. ANOVA analyses

239 were performed in R (28) version 3.6.3. Robust covariance estimation was performed using the

sandwich package (29) version 2.5-1.

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### 241 **RESULTS**

242

### 243 Less diverse microbiome in NTM-culture positive soil samples

244 Of the total soil samples from this study, a subset (n=18, eight NTM culture positive and ten 245 NTM culture negative) were subjected to exploratory microbiome analyses. Linear modeling of 246 mycobacterium genus counts against pH and culture status revealed no significant relationships 247 (F = 1.007, p = 0.330 and F = 1.119, p = 0.306). Shannon diversity index was used to compare 248 species richness between NTM culture positive and NTM culture negative groups. The Shannon 249 diversity values between NTM culture groups showed a trend towards significance with NTM 250 culture positive samples having a lower overall diversity compared to NTM culture negative 251 samples (Supplementary Figure 4A). Taxonomic analysis revealed the phylum *Firmicutes* was 252 significantly enriched in NTM culture positive samples (Supplementary Figure 4B) and the 253 phylum composition varied by culture status (Supplementary Figure 4C). Similar trends in 254 Shannon diversity and overall Phyla abundance were also observed when the data were stratified 255 by mycobacteria genus counts (Supplementary Figure 5A-B).

256

### 257 NTM recovery is not driven by soil pH

Soil were subjected to pH analyses. Overall, there was a statistically significant difference in soil pH among the individual islands. Specifically, of the five islands examined, pH of Hawai'i Island soil was more acidic (mean, pH = 5.4) compared to the soil pH = 7.1-7.6 of the other four

- 261 islands (**Figure 2A**). However, pH did not significantly vary when the data was stratified by
- islands (Figure 2A). However, pH did not significantly vary when the data was stratified by
- 262 NTM culture results (Figure 2B). Compared to an average of all other features in the dataset, the
- 263 importance of pH as a feature had a lower mean decrease in accuracy (Figure 2C).

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265	Gibbsite, a clay mineral, inhibits both M. abscessus and M. chimaera in vitro
266	Exploratory feature importance selection was then performed against the full dataset to elucidate
267	possible clay characteristics that correlate to the presence of NTM. Based on our feature
268	prediction models, gibbsite (a clay mineral) and 1:1 clays (a group of structurally related
269	minerals where the fundamental building block consists of a sheet of silicate tetrahedra bonded
270	to a layer of Al-O-OH or Mg-O-OH octahedra which includes kaolinite and halloysite, the most
271	common 1:1 clays in Hawai'i) (30, 31) were predicted to be less important compared to all other
272	features in the dataset (Figure 3A). Alternatively, based again on our machine learning models,
273	NTM culture negative samples were predicted to have more gibbsite and 1:1 clays when
274	stratified by culture status (Supplementary Figure 6A). To directly test these hypotheses,
275	gibbsite and the clays, kaolin and halloysite, were incubated separately in the presence of NTM.
276	Synthetic gibbsite significantly inhibited the growth of both M. abscessus and M. chimaera in
277	vitro (Figure 3B-C) as well as <i>M. avium</i> at 48 hours (Supplementary Figure 7A) compared to
278	the untreated controls. The growth of M. abscessus and M. chimaera were not significantly
279	altered by exposure to kaolin or halloysite (Figure 3B-C), but halloysite significantly facilitated
280	the growth of <i>M. avium</i> at 96 hours (Supplementary Figure 7A).
281	
282	To investigate whether the aforementioned results could be replicated using actual soil samples,
283	three Hawai'i soil samples were identified to contain: 1) 100 % kaolinite (HNL 12-48), 2) 35 %
284	halloysite/27 % gibbsite (RAP2-2), or 3) 55 % halloysite (RAP2-5). Similar to Figure 3B, the
285	growth of <i>M. abscessus</i> was not impacted when co-cultured with HNL 12-48, a soil comprised of
286	100 % kaolinite (Figure 3D); in contrast, significantly less M. chimaera was recovered (Figure

containing both gibbsite and halloysite (Figure 3D-E). *M. abscessus* showed significantly higher
growth early after exposure to RAP2-5 (1 and 48 hours) and RAP2-2 soil samples (48 hours);

290 however, incubation with these soils did not affect *M. chimaera* growth *in vitro* compared to the

3E). The inhibitory effect of gibbsite on NTM growth was lost when incubated with soil

291 bacteria alone group (untreated) (Figure 3D-E).

292

287

### 293 Iron minerals significantly increase NTM growth in vitro

294 Based on our feature prediction modeling, iron oxide minerals such as maghemite, hematite, and 295 magnetite are posited to be of greater importance than the combination of all remaining features 296 (Figure 4A). To estimate the directionality of maghemite, hematite, and magnetite to NTM 297 growth, the amount of these iron oxides in each soil sample were plotted against NTM culture 298 status, predicting more hematite and maghemite in NTM-positive cultures (Supplementary 299 Figure 6B). Tested in vitro, the growth of *M. abscessus* was generally significantly enhanced in 300 the presence of hematite and maghemite compared to the untreated control (Figure 4B). While 301 greater counts of *M. chimaera* were observed for all iron oxides tested at the 24-hour mark

302 compared to the untreated control (Figure 4C), growth decreased at the 48-hour timepoint in the

303 samples incubated with maghemite and magnetite (Figure 4C). However, CFU abundance in the

304 samples was equivalent by the 96 hour timepoint for all M. *chimaera* samples. Similar to M.

305 *abscessus*, significantly more *M. avium* was observed when incubated with hematite

- 306 (Supplementary Figure 7B).
- 307

308 Manganese minerals show varied affects on NTM growth in vitro

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309 Because soil manganese has been associated with lower risk for NTM infections (7, 9), the 310 effects of manganese minerals on NTM growth was tested. M. abscessus, M. chimaera, and M. 311 avium were incubated in the presence of manganese minerals including synthetic pyrolusite, 312 manganite, cryptomelane, or birnessite. Results were varied. In general, the growth of all NTM 313 tested was significantly higher when cultured in the presence of the manganese oxide pyrolusite 314 (Figure 5A-B, Supplementary Figure 7C). While the growth of *M. abscessus* was also higher 315 in the presence of cryptomelane (24 and 48 hours), M. chimaera growth was significantly 316 inhibited by the 96 hour timepoint. Less M. chimaera was also observed in the presence of the 317 manganese oxide mineral, birnessite. Of importance, birnessite also significantly inhibited the 318 growth of *M. avium* (Supplementary Figure 7C), while showing little affect on *M. abscessus* 319 viability. 320 321 Pictorial of NTM attachment to mineral surfaces

*In vitro* assays demonstrated more numbers of *M. abscessus* and *M. chimaera* in the presence of
hematite, whereas gibbsite and birnessite significantly inhibited the growth of NTM. Scanning
electron microscope images show *M. abscessus* (Figure 6A) and *M. chimaera* (Figure 6B) alone
and in association with hematite (Figure 6E-F), whereas no bacilli are seen in the presence of

326 gibbsite (**Figure 6C-D**) and birnessite (**Figure 6G-H**).

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### 327 DISCUSSION

328

329 Infections due to NTM are a growing clinical concern across the U.S. and many parts of the 330 world due to their increasing prevalence and their recalcitrant nature to current chemotherapeutic 331 treatments. It is widely recognized that environmental exposures contribute to NTM acquisition. 332 While not as widely sampled or well-characterized as water-associated biofilms, NTM occupy 333 soil niches globally. Because 80-90 % of microbes in soil are attached to solid surfaces, 334 understanding the specific components of soil that contribute to NTM growth and maintenance 335 in a geographic focal point for infection like Hawai'i is imperative (32). In this study, we 336 performed microbiome, mineralogic studies, and applied permuted feature importance 337 approaches to predict soil components associated with NTM. We then tested the ability of these 338 soil components to directly modulate the growth of native NTM isolates from Hawai'i in vitro 339 and used high-powered microscopy to capture the capability of NTM to bind to these 340 components. 341 342 The microbiome study demonstrated a trend towards lower alpha diversity in the NTM culture 343 positive samples suggesting reduced richness of species compared to the NTM culture negative 344 samples (Supplementary Figure 4A). If the trend of lower alpha diversity in NTM culture 345 positive samples remains true when the sample size increases, this metric could potentially 346 become a useful feature to predict NTM presence or absence in soil. Alpha diversity may also be 347 linked to the soil composition and competition for resources. 348

349	A variety of soil factors, or a combination of factors, could contribute to the presence or absence
350	of environmental NTM. When analyzed as a single factor, soil pH was not found to be a
351	significant driver for NTM diversity in this study (Figure 2B), despite NTM showing a
352	preference for acidic environmental conditions (pH 3-5) (e.g., acidic, brown water swamps,
353	fulvic and humic acids, and peat-rich potting soils) (33-35). Most soils in Hawai'i have pH
354	ranges from 4-8, but most are acidic due to the warm temperatures and high rainfall, leading to
355	elevated pCO <sub>2</sub> values in the atmosphere. However, a primary driver of low pH in deeply
356	weathered soils is the lack of base cations. Because we observed Hawai'i Island soil as more
357	acidic compared to the other islands examined, future studies should further elucidate the role of
358	soil pH to NTM growth.
359	
360	A primary aim of this work was to determine important soil mineralogical features associated
361	with NTM culture status by using machine learning tools to identify important features then
362	validating the impact of these minerals on NTM growth in vitro. Overall, soil feature

distributions did not correlate directly with *in vitro* NTM culture assays. This may be a result of
the limited power and the unbalanced outcome groupings. Yet, by using feature importance

365 measures, we were able to identify gibbsite as possible modulator of NTM growth (Figure 3A)

and confirmed that alone, pure gibbsite significantly inhibits the growth of *M. abscessus* and *M.* 

367 *chimaera* (Figure 3B-C). Gibbsite is one of the mineral forms of aluminum hydroxide that forms

368 the weathered surfaces of clays. Prior work detailing the soil composition of the Colombian

369 Amazon has shown aluminum in clay possess antibacterial activity against other

370 microorganisms, including *Escherichia coli* (36). While gibbsite is common in tropical soils

371 (37), the amount of gibbsite or its interaction with other minerals may influence the presence or

372	absence of NTM in Hawai'i soil. Beyond the examination of aluminum as a single factor, the
373	combination of aluminum and iron has been shown to increase the production of reactive oxygen
374	species in prokaryotes, which can cause cell death (38). Noteworthy of discussion, the inhibitory
375	effect of gibbsite on NTM growth was lost when incubated with soil containing gibbsite and
376	halloysite (Figure 3D-E). It's possible that the surface chemistry and crystal size of pure gibbsite
377	change when in a complex mixture such as soil containing other minerals (e.g., halloysite).
378	Similar discrepancies were also observed for M. chimaera incubated with pure kaolin or
379	kaolinite-containing soil. Incubation of M. chimaera with pure kaolin did not alter CFU counts at
380	the timepoints tested (Figure 3C); however, significantly less M. chimaera was recovered
381	overtime when incubated in soil containing kaolinite (Figure 3E). Besides kaolinite, it's possible
382	the soil sample also contained other unidentified minerals or other factors that inhibited M.
383	chimaera growth. It would be prudent to perform more detailed chemical analyses of these
384	particular soils in the future.
385	
386	The presence of iron in soil can promote NTM growth and our feature predictions posit this is
387	also true in Hawai'i soil (Figure 4A). Our in vitro data indicate that not all iron oxide minerals
388	such as maghemite, magnetite, and hematite impact the growth of NTM equally. For example,
389	M. abscessus growth was facilitated in the presence of all iron oxides tested, particularly
390	hematite (Figure 4B), which was also associated with higher growth of <i>M. avium</i>
391	(Supplementary Figure 7B), but little impact on M. chimaera (Figure 4C). Hematite has already

- 392 been shown to promote the growth of soil-dwelling *Pseudomonas mendocina* by acting as an
- 393 iron source in vitro (39). Because of the importance of iron-sequestration by NTM, it would be
- 394 prudent for future work to dissect the role of *M. abscessus*, *M. chimaera*, and *M. avium*

siderophores on iron sequestration from soil. Additionally, the impact of iron oxides on NTM
biofilm development is an avenue for future study given the known effect of iron on the growth
of *M. smegmatis* and *M. tuberculosis* biofilms (40, 41).

398

399 Manganese is an important minor element commonly found in basalts and other mafic rocks and 400 is implicated as an inhibitory agent for NTM in soil. Although numerous manganese oxides and 401 hydroxides (e.g., pyrolusite, manganite, and cryptomelane) have been identified, birnessite is one 402 of the most common in soil (42). Birnessite demonstrated potent antibacterial activity against 403 both SGM M. chimaera (Figure 5B) and M. avium (Supplementary Figure 7C), but did not affect 404 the growth of RGM *M. abscessus* (Figure 5A). *M. chimaera* growth was impaired in the presence 405 of cryptomelane by the 96 hour timepoint. Interestingly, the manganese oxide, pyrolusite 406 facilitated the growth of all three NTM species tested. In other studies, manganese oxide 407 nanoparticles were found to exert antibacterial activity against Vibrio cholerae, Shigella, and E. 408 *coli* and birnessite has been shown to inhibit pathogenic prions (43, 44). The role of manganese 409 oxides/hydroxides in NTM growth in Hawaiian soils remains an open question. Additional work 410 would be required to identify how manganese negatively or positively impacts NTM growth in 411 the environment.

412

413 SEM images augment the culturing studies by illustrating the relationships between mineral 414 substrates and NTM cells, although fixation and rinsing steps in mount preparations may not 415 preserve a 1:1 relationship between cells and cell attachment versus abundances in culturing 416 experiments. *M. abscessus* was seen in abundance attached to the surfaces of hematite grains and 417 on the filter membrane of the mineral-free control culture, whereas it was not observed in the

418 presence of birnessite and gibbsite (Figure 6). Similar relationships were observed for M. 419 chimaera. This species was found in the presence of hematite, although not in the relatively high 420 proportions exhibited by *M. abscessus*, but was absent on gibbsite or birnessite (Figure 6). 421 The absence of NTM in the presence of pure gibbsite (Figure 3B-C) may be due to aluminum 422 toxicity (36). In addition, gibbsite is very fine grained, with crystallites  $< 1 \mu m$  in diameter 423 (Figure 6), which may preclude attachment to a single grain. Similarly, individual birnessite 424 grains are very small and unfavorable for attachment. Presumably some aspect of the surface 425 chemistry of birnessite may also contribute to the inhibition of M. chimaera and M. avium in 426 soil.

427

428 This study introduced the possibility that transition metals and oxide features in soil influence 429 NTM growth in vitro. Future work should elucidate the various mechanisms used by NTM to 430 evade toxicity of soil factors to promote extended survival in the environment. For example, 431 RGM including *M. fortuitum* and *M. chelonae* have been shown to resist exposure to transitional 432 metals such as mercury through actions of protective mercuric reductases and organomercurial 433 lyases (45, 46). In addition, the type VII secretion systems (e.g., ESX-3) of environmental 434 mycobacteria have been associated with iron acquisition via mycobactin, a secreted iron chelator 435 that promotes survival (47). Finally, it's possible NTM also utilize other siderophores, chelating 436 proteins such as calprotectins, or structures similar to "zincosomes" (zinc-holding compartments) 437 produced by Mycobacterium tuberculosis, as multi-faceted mechanisms to protect from soil 438 toxicity including control of uptake, oxidation, sequestration inside the bacteria, and efflux of 439 toxic soil materials (48).

440

441	This study has some limitations. Soil samples were unequally collected from a limited number of
442	sites across Oahu, Kauai, Hawai'i Island, Molokai, and Maui (Figure 1). Collecting an increased
443	number of soils that more widely and equally represent the different geographic areas across the
444	islands would not only increase the limited sample size, but will also provide a more complete
445	study set to more robustly identify features influencing NTM growth. Increasing the number of
446	NTM culture positive samples with defined soil characteristics would also improve the balance
447	of the dataset and the overall feature selection performance. The addition of more samples would
448	also increase the power of comparisons in the microbiome analysis. A single concentration of
449	each mineral was used to compare across species and timepoints; however, the growth of NTM
450	may be modulated with lower or higher amounts of compounds. Because soil is a complex
451	mixture of many different components, we also cannot rule out the role of all other soil
452	components (e.g., sodium, zinc, copper, organic material) or other environmental factors such as
453	rainfall and humidity in NTM growth and sustainability. Finally, because we were interested in
454	studying M. abscessus and M. chimaera, clinically relevant NTM found in the lung, these
455	experiments were performed at 37 °C; however soil temperature likely varies widely in the
456	environment and these results might change with lower incubation temperature.
457	
450	To design deits and index Constant and the second state of the second state of the second state in data 1

In closing, this study is the first to our knowledge to characterize the soil composition in detail and relate that to NTM culture status. This study also identified important minerology features in Hawai'i soil using the application of machine learning tools which were then validated *in vitro*. In addition, this study captured microscopy images of NTM binding to soil features. Because gibbsite and some of the manganese oxides were shown to decrease NTM growth and hematite and pyrolusite promoted growth, it would be prudent to quantify these components and others in

- 464 other soil samples globally in future work with subsequent translation of these findings to the
- 465 presence or absence of clinically relevant NTM species in the environment.

### 466 ACKNOWLEDGEMENTS

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472	submit the work for publication.

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### 473 FIGURE LEGENDS

474

475 Figure 1. Hawai'i soil map. A) Location of soil samples in the Hawaiian Islands, with NTM

476 positive (green stars) and NTM negative soils (black dots) as indicated. B) Map of Oahu

477 indicating NTM positive and negative soils. Blue contours are mean annual rainfall (mm/yr).

478 Red indicates the presence of bedrock at the surface and the other map colors represent various 479 soil orders (USDA, 2019).

480

481 Figure 2. NTM culture results are not related to soil pH. A) pH was measured from soil 482 samples collected from Oahu (n = 46), Hawai'i Island (n = 4), Molokai (n = 4), Kauai (n = 5), 483 and Maui (n = 1). pH value distributions were plotted by island and tested for differences (One-484 way ANOVA, \*, p = 0.01). B) Soil samples were stratified by NTM culture status and all pH 485 values are plotted. NTM culture negative samples, pH mean = 6.95 (n = 49); NTM culture 486 positive samples, pH mean = 6.89 (n = 11) (One-way ANOVA, NS, p = 0.85). C) Feature 487 importance is defined by mean decrease in accuracy (MDA) after 1.000 iterations of a classifier 488 while shuffling the feature values. A higher MDA is associated with an important feature in the 489 model. All remaining features is an average of the importance and variation amongst features 490 other than pH. The importance of pH is lower than the average of all remaining features (0.0197 491  $\pm 0.0145$  vs  $0.0367 \pm 0.0128$ , \*\*\*, p < 0.0001). 492

### 493 Figure 3. Impact of clay minerals on the in vitro growth of native Hawai'i environmental

- 494 NTM isolates. A) Distribution of clay mineral mean decrease in accuracy across 1,000 iterations
- 495 of shuffling. The importance of gibbsite is less than the average of the remaining features

496	$(0.0302 \pm 0.0117 \text{ vs } 0.0377 \pm 0.0141)$ . However, the importance of gibbsite is greater than 1:1
497	clay $(0.0302 \pm 0.0117 \text{ vs } 0.0172 \pm 0.0041)$ . <b>B</b> ) In vitro growth of M. abscessus in the presence of
498	synthetic gibbsite, kaolin and halloysite. C) In vitro growth of M. chimera in the presence of
499	synthetic gibbsite, kaolin, or halloysite. <b>D</b> ) In vitro growth of M. abscessus in the presence of
500	Hawai'i soil. <b>E</b> ) In vitro growth of M. chimaera in the presence of Hawai'i soil. *** $p < 0.001$ ,
501	**** p < 0.0001.
502	

Figure 4. Impact of iron minerals on the in vitro growth of native Hawai'i environmental

504 NTM isolates. A) Distribution of iron oxide mean decrease in accuracy across 1,000 iterations of 505 shuffling. The lowest iron oxide mineral (maghemite) is greater than the average of all remaining 506 features suggesting iron oxide minerals are important for NTM growth  $(0.0569 \pm 0.0160 \text{ vs})$ 507  $0.0196 \pm 0.0092$ ). Magnetite is of greater importance than maghemite or hematite (0.0770  $\pm$ 508  $0.0226 \text{ vs } 0.0569 \pm 0.0160 \text{ and } 0.0581 \pm 0.0185$ . B) In vitro growth of M. abscessus in the 509 presence of synthetic maghemite, magnetite, and hematite. C) In vitro growth of M. chimaera in the presence of synthetic maghemite, magnetite, and hematite. \* p < 0.05, \*\* p < 0.01, \*\*\* p < 510 0.001, \*\*\*\* p < 0.0001. 511

512

503

513 Figure 5. Impact of a manganese compound on the *in vitro* growth of native Hawai'i

514 environmental NTM isolates. In vitro growth of M. abscessus (A) or M. chimaera (B) in the presence of synthetic manganese minerals. \* p < 0.05, \*\* p < 0.01, \*\*\* p < 0.001, \*\*\*\* 515 516 0.0001.

517

### 518 Figure 6. Scanning electron microscope images of environmental NTM isolates grown in

- 519 the presence of gibbsite, hematite, and birnessite. A-B) *M. abscessus* (3 µm) and *M. chimaera*
- 520 (5 µm) in the absence of soil minerals. C-D) M. abscessus and M. chimaera in the presence of
- 521 gibbsite. E-F) M. abscessus and M. chimaera in the presence of hematite. G-H) M. abscessus
- 522 and *M. chimaera* in the presence of birnessite. Red arrows indicate the NTM bacilli.

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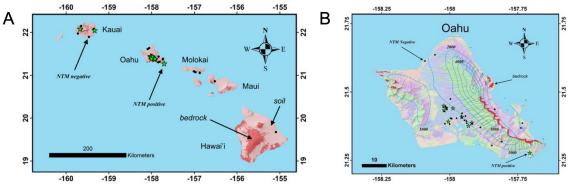
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Mineral:	Mineral Group:	Formula:	Experiment:
1:1 Clays	1:1 Clays	Al <sub>2</sub> Si <sub>2</sub> O <sub>5</sub> (OH) <sub>4</sub>	in silico
Halloysite	1:1 Clay (natural)	Al <sub>2</sub> Si <sub>2</sub> O <sub>5</sub> (OH) <sub>4</sub>	in vitro
Kaolinite	1:1 Clay (natural)	Al <sub>2</sub> Si <sub>2</sub> O <sub>5</sub> (OH) <sub>4</sub>	in vitro
Gibbsite	Clay (synthetic)	Al(OH) <sub>3</sub>	Both
Magnetite	Fe-Ti-oxide/hydroxide	Fe <sub>3</sub> O <sub>4</sub>	Both
Maghemite	Fe-Ti-oxide/hydroxide	Fe <sub>2</sub> O <sub>3</sub>	Both
Hematite	Fe-Ti-oxide/hydroxide	Fe <sub>2</sub> O <sub>3</sub>	Both
Ilmenite	Fe-Ti-oxide/hydroxide	FeTiO <sub>3</sub>	in silico
Goetithe	Fe-Ti-oxide/hydroxide	FeO(OH)	in silico
Calcite/Aragonite	Carbonate minerals	CaCO <sub>3</sub>	in silico
Plagioclase	Feldspar	NaAlSi <sub>3</sub> O <sub>8</sub>   CaAl <sub>2</sub> Si <sub>2</sub> O <sub>8</sub>	In silico
Pyrolusite	Mn-oxide (natural)	MnO <sub>2</sub>	in vitro
Cryptomelane	K-, Mn-oxide (natural)	K(Mn) <sub>8</sub> O <sub>16</sub>	in vitro
Birnessite	K-, Mn-oxide (synthetic)	K(Mn) <sub>2</sub> O <sub>4</sub> ·1.5H <sub>2</sub> O	in vitro
Manganite	Mn-oxide/hydroxide (natural)	MnO(OH)	in vitro

### 670 **Table 1:** Information about the minerals used in this study

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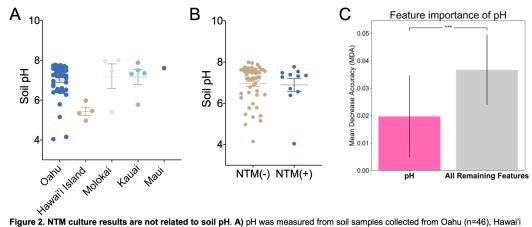




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Figure 1. Hawai'i soil map. A) Location of soil samples in the Hawaiian Islands, with NTM positive (green stars) and NTM negative soils (black dots) as indicated. B) Map of Oahu indicating NTM positive and negative soils. Blue contours are mean annual rainfall (mm/yr). Red indicates the presence of bedrock at the surface and the other map colors represent various soil orders (USDA, 2019; <u>https://www.nrcs.usda.gov/wps/portal/nrcs/detail/soils/edu/?cid=nrcs142p2\_053588</u>).

Figure 1 Glickman and Virdi, *et al* 



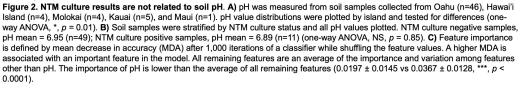


Figure 2 Glickman and Virdi, *et al* 

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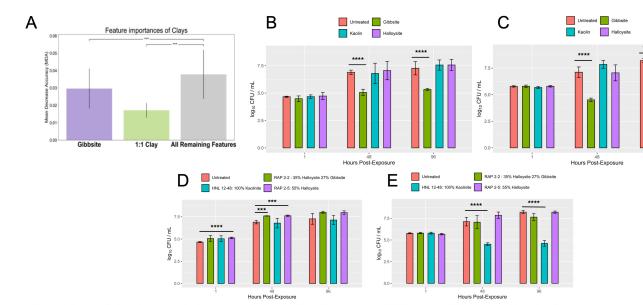


Figure 3. Impact of clay minerals on the *in vitro* growth of native Hawai'i environmental NTM isolates. A) Distribution of clay mineral mean decrease in accuracy across 1,000 iterations of shuffling. The importance of gibbsite is less than the average of the remaining features  $(0.0302 \pm 0.0117 \text{ vs } 0.0377 \pm 0.0141)$ . However, the importance of gibbsite is greater than 1:1 clay  $(0.0302 \pm 0.0117 \text{ vs } 0.0172 \pm 0.0041)$ . B) *In vitro* growth of *M. abscessus* in the presence of synthetic gibbsite, kaolin and halloysite. C) *In vitro* growth of *M. chimera* in the presence of Hawai'i soil. E; *In vitro* growth of *M. chimera* in the presence of Hawai'i soil. Y = 0.0001, \*\*\*\* p < 0.0001.

Figure 3 Glickman and Virdi, *et al* 

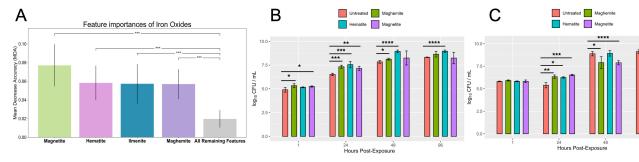


Figure 4 Glickman and Virdi, *et al* 



A

\*\*

7.5

5.0

2.5

0.0

log<sub>10</sub> CFU / mL

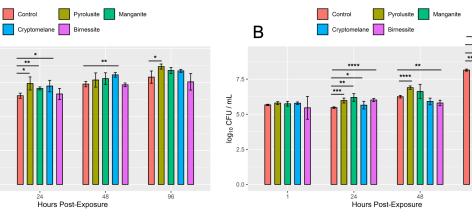


Figure 5. Impact of a manganese compound on the *in vitro* growth of native Hawai'i environmental NTM isolates. *In vitro* growth of *M. abscessus* (A) or *M. chimaera* (B) in the presence of synthetic manganese minerals. \* p < 0.05, \*\* p < 0.01, \*\*\* p < 0.001, \*\*\*\* p < 0.001.

Figure 5 Glickman and Virdi, *et al* 

Figure 6. Scanning electron microscope images of environmental NTM isolates grown in the presence of gibbsite, hematite, and birnessite. A-B) *M.* abscessus (3 μm) and *M. chimaera* (5 μm) in the absence of soil minerals. C-D) *M. abscessus* and *M. chimaera* in the presence of gibbsite. E-F) *M. abscessus* and *M. chimaera* in the presence of hematite. G-H) *M. abscessus* and *M. chimaera* in the presence of hematite. G-H) *M. abscessus* and *M. chimaera* in the presence of hematite. G-H) *M. abscessus* and *M. chimaera* in the presence of hematite. G-H) *M. abscessus* and *M. chimaera* in the presence of hematite. G-H) *M. abscessus* and *M. chimaera* in the presence of birnessite. Red arrows indicate the NTM bacilli.

Figure 6 Glickman and Virdi, *et al* 

